DT05 d PCT/PTO 28 SEP 2004



## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents
Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

Application Number	
Filing Date	09/28/2004
First Named Inventor	BAKKERS, Erik P. A. M.
Group Art Unit	
Examiner Name	
Attorney Docket Number	NL02 0287 US

concise ex	planation of their	eir rele	vance	s indi	ated	below	per 37	' CFR	1.97.	noted	uicieo	n, and an	Att	orne	/ Dock	et Ni	ımber		NL02 0287 US	
	Please Account N				reqi	iired	fee	unde	er §	1.17(	(i) or	§1.17	(p) or	any	other	req	uired	fe	ee (except the issue fee)	to
1.	I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.																			
2.	I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago.																			
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	A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than (3) months after the date of application or RCE, because I certify 1. or 2. as indicated above.									months										
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	Filing Date	te								relie	d on	for an	earlie	er ef	fective	e fili	ing d	ate	under 35 U.S.C. 120).	
	<ul> <li>✓ A copy of the U.S. patent(s) and patent application publication(s) in all U.S. national patent applications filed after June 30, 2003, and in all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003 under 37 CFR 1.491(b), are not required.</li> <li>✓ A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).</li> </ul>																			
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	previous Ser. No																	pli	cation	
cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.																				
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Name (Print Type) Michael J., Ure, Reg. No. 33,089																				
Signature				Jr	Vu.	w	16	<u></u>	_					Dat	e		5	?/	128/04	
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: BAKKERS, Erik P. A. M.

Docket No.: NL02 0287 US

PTO Application No.:

Conf.:

Art Unit:

Date Filed: 09/28/2004

Examiner:

Title: Method of manufacturing nanowires and electronic device

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Commissioner for Patents

P.O. Box 1450, Alexandria, VA 22313-1450

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

Sir:

Enclosed in this transmittal is an "Information Disclosure Statement by Applicant" and a copy of each of the documents listed thereon. These documents are considered to be relevant in that they have been cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed.

I hereby certify that these documents were cited in said search report not more than three (3) months prior to the filing of this information disclosure statement.

This disclosure is not an admission that any of these documents is material to or even prior art with respect to the above-referenced application.

The Commissioner is hereby requested and authorized pursuant to 37 CFR §1.136(a)(3), to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Date: 9/28/04

Respectfully submitted,

Michael J. Ure, Kog. No. 33,089

Philips Electronics North America Corp.

1109 McKay Drive, M/S-41SJ, San Jose, CA 95131

(408) 474-9077

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria VI 22313-1450" on the date indicated below

(Date) 26 -

(Signature) (Name)

Daniel L. Michalek

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT Art Unit Examiner Name Attorney Docket Number Application Number Filing Date 09/28/2004 First Named Inventor BAKKERS, Erik P. A. M. Art Unit Examiner Name Attorney Docket Number NL02 0287 US

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Document Number NoKind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns Lines, Where Relevan Passages or Relevant Figures Appear					
		US-								
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	FOREIGN PATENT DOCUMENTS										
Examiner Cite Document Number Publication Date Name of Patentee or Where Relevant Figure Or Relevant Figure											
		EP 0 544 408	06-02-1993	SHERIDON, NICHOLAS, ET AL.		1					
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NON-PATENT LITERATURE DOCUMENTS								
Cite No. <sup>1</sup>	Include name of the author (in capital letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>						
	NASSIOPOULOS, A G ET AL: "ELECTROLUMINESCENT SOLID STATE DEVICES BASED ON SILICON NANOWIRES, FABRICATED BY USING LITHOGRAPHY AND ETCHING TECHNIQUES" THIN SOLID FILS, ELSEVIER-SEQUOIS S.A. LAUSANNE, CH., VOL. 297, NO. 1-2, 1 APRIL 1997							
	No. <sup>1</sup>	Cite Include name of the author (in capital letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  NASSIOPOULOS, A G ET AL: "ELECTROLUMINESCENT SOLID STATE DEVICES BASED ON SILICON NANOWIRES, FABRICATED BY USING LITHOGRAPHY AND ETCHING						

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number